

65705 U.S. PTO  
05/15/98

65705 U.S. PTO  
09/735299  
05/15/98

Express Mail No: EM 000783532US  
Attorney Docket: WRIPLASTIC-Div

IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

In Re the Patent Application of:

Frank D. Guffey and Floyd Alan Barbour

For: Process for Waste Plastic Recycling

Serial Number: 08/525,639 New Serial No.: \_\_\_\_\_  
Filed: September 6, 1995 New Filing Date: \_\_\_\_\_  
Group Art Unit: 1106 New Group Art Unit: \_\_\_\_\_  
Examiner: Nina Bhat New Examiner: \_\_\_\_\_

LETTER OF TRANSMITTAL

The Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Dear Commissioner:

Enclosed for filing are the following documents:

- a. a Preliminary Amendment to be entered with this filing prior to calculation of the filing fee;
- b. copies of parent application serial number 08/525,639, including copies of the original specification, original claims and informal drawing, the original combined declaration and power of attorney, the verified statement establishing small entity status (independent inventor), the verified statement establishing small entity status (non-profit organization), and the assignment of patent rights;
- c. a new formal drawing (1) sheet;
- d. Information Disclosure Citation with copies of the cited references;

- [illegible]

Dated: May 15, 1998

SANTANGELO LAW OFFICES, P.C.

Brit. Phil.

wn\plastic\us\div\div.xmt

# FEE CALCULATION SHEET

## USPTO Patent Application

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS <sup>1</sup>	16-20 =	0	x \$22.00 =	\$0.00
INDEP. CLAIMS	2-3 =	0	x \$82.00=	0.00
MULTIPLE DEPENDENT CLAIMS (insert Y or N)				
BASIC FEE				790.00
TOTAL OF ABOVE =				790.00
REDUCTION BY 50 % FOR FILING BY SM ENTITY (insert Y or N)			Y	395.00
ASSIGNMENT (insert Y or N)			N	\$ 0.00
TOTAL =				\$ 395.00

### <sup>1</sup> TOTAL CLAIMS BASED ON:

16	Numbered claims with:
2	Independent claims (Claims 1, 2)
14	Claims dependent on a single claim (Claims 3-16)
	Claims dependent on a two claims
	Claims dependent on a three claims
	Claims dependent on a four claims
	Claims dependent on a five claims
16	TOTAL CALCULATED CLAIMS

Note: Fees based on October 1, 1997

Express Mail No: EM 000783532US  
Attorney Docket: WRIPLASTIC-Div

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IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE  
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In Re the Patent Application of:

Frank D. Guffey and Floyd Alan Barbour

For: Process for Waste Plastic Recycling

Serial Number: 08/525,639 New Serial No.: \_\_\_\_\_

Filed: September 6, 1995 New Filing Date: \_\_\_\_\_

Group Art Unit: 1106 New Group Art Unit: \_\_\_\_\_

Examiner: Nina Bhat New Examiner: \_\_\_\_\_  
-----

CERTIFICATE OF EXPRESS MAILING

I, Barbara Graves, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.

2. I have this day deposited the attached Letter of Transmittal, Fee Calculation Sheet and cashier's check in the amount of \$395.00 with the United States Postal Service as "Express Mail" for mailing to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

DATED: May 15, 1998

Barbara Graves  
Barbara Graves

08/525,639

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IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE  
-----

In Re the Patent Application of:      Frank D. Guffey and Floyd Alan Barbour  
  
For:    Process for Waste Plastic Recycling  
  
Serial Number:                                09/735,299  
  
Filed:    May 15, 1998  
  
Group Art Unit:  
  
Examiner:

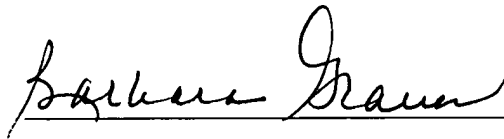
---

**CERTIFICATE OF MAILING**

I, Barbara Graves, hereby certify to the truth of the following items:

1.        I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.

2.        I have this day deposited the attached second Preliminary Amendment with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 16<sup>th</sup> day of February, 2001.

  
\_\_\_\_\_

IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE  
PCT BRANCH

In Re the Patent Application of:

Frank D. Guffey and Floyd A. Barbour

International Filing Number: \_\_\_\_\_

Priority Date: \_\_\_\_\_

For:

Process for Waste Plastic Recycling

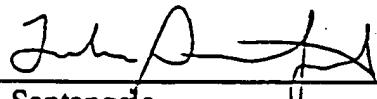
Receiving Office:

RO/US

CERTIFICATE OF MAILING

I, Luke Santangelo, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 315 West Oak Street, Suite 701, Fort Collins, Colorado 80521.
2. I have this day deposited the attached description, claims and abstract (21 pages) and with the United States Postal Service as "Express Mail" postage prepaid in an envelope addressed to: The Commissioner of Patents, and Trademarks, Box PCT, Washington, D.C. 20231, on March 2, 1994.

  
\_\_\_\_\_  
Luke Santangelo

Express Mail No: EM 000783532US  
Attorney Docket: WRIPLASTIC-Div

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IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE  
-----

In Re the Patent Application of:

Frank D. Guffey and Floyd Alan Barbour

For: Process for Waste Plastic Recycling

Serial Number: 08/525,639 New Serial No.: \_\_\_\_\_

Filed: September 6, 1995 New Filing Date: \_\_\_\_\_

Group Art Unit: 1106 New Group Art Unit: \_\_\_\_\_

Examiner: Nina Bhat New Examiner: \_\_\_\_\_

-----  
**CERTIFICATE OF EXPRESS MAILING**

I, Barbara Graves, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.
2. I have this day deposited the attached Preliminary Amendment with the United States Postal Service as "Express Mail" for mailing to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

DATED: May 15, 1998

Barbara Graves  
Barbara Graves

20250150 00000000

Attorney Docket: WRIPLASTIC  
Express Mail: TB138968049US

IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

In Re the Patent Application of:

Frank D. Guffey and Floyd Alan Barbour

For: Process for Waste Plastic Recycling

Serial Number: (US original) 08/028,844 (new) \_\_\_\_\_  
(PCT) PCT/US94/002433

Filed: (US original) March 10, 1993 (new) \_\_\_\_\_  
(PCT) 08 March 1994

Group Art Unit: (US original) 1204 (new) \_\_\_\_\_

Examiner: (US original) D.C. Jones (new) \_\_\_\_\_

CERTIFICATE OF EXPRESS MAILING

I, Barbara A. Graves, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 315 West Oak Street, Suite 701, Fort Collins, Colorado 80521.

2. I have this day deposited the attached Verified Statement of Small Entity Status of Frank D. Guffey and Floyd Alan Barbour with the United States Postal Service as "Express Mail" for mailing to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

DATED: September 6, 1995

Barbara A. Graves

08/028,844; 08/028,844



IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE  
PCT BRANCH

In Re the Patent Application of:

Frank D. Guffey and Floyd A. Barbour

International Filing Number: \_\_\_\_\_

Priority Date: \_\_\_\_\_

For: Process for Waste Plastic Recycling

Receiving Office: RO/US

CERTIFICATE OF MAILING

I, Luke Santangelo, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 315 West Oak Street, Suite 701, Fort Collins, Colorado 80521.
2. I have this day deposited the attached one sheet of drawings with the United States Postal Service as "Express Mail" postage prepaid in an envelope addressed to: The Commissioner of Patents and Trademarks, Box PCT, Washington, D.C. 20231, on March 8, 1994.

  
\_\_\_\_\_  
Luke Santangelo

Attorney Docket: WRIPLASTIC  
Express Mail: TB138968049US

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IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

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In Re the Patent Application of:

Frank D. Guffey and Floyd Alan Barbour

For: Process for Waste Plastic Recycling

Serial Number: (US original) 08/028,844 (new) \_\_\_\_\_  
(PCT) PCT/US94/002433

Filed: (US original) March 10, 1993 (new) \_\_\_\_\_  
(PCT) 08 March 1994

Group Art Unit: (US original) 1204 (new) \_\_\_\_\_

Examiner: (US original) D.C. Jones (new) \_\_\_\_\_

---

CERTIFICATE OF EXPRESS MAILING

I, Barbara A. Graves, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 315 West Oak Street, Suite 701, Fort Collins, Colorado 80521.
2. I have this day deposited the attached Form 1391 Combined Declaration for Patent Application and Power of Attorney with the United States Postal Service as "Express Mail" for mailing to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

DATED September 6, 1995

Barbara A. Graves

005150-0055260

Attorney Docket: WRIPLASTIC  
Express Mail: TB138968049US

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IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

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In Re the Patent Application of:

Frank D. Guffey and Floyd Alan Barbour

For: Process for Waste Plastic Recycling

Serial Number: (US original) 08/028,844 (new) \_\_\_\_\_  
(PCT) PCT/US94/002433

Filed: (US original) March 10, 1993 (new) \_\_\_\_\_  
(PCT) 08 March 1994

Group Art Unit: (US original) 1204 (new) \_\_\_\_\_

Examiner: (US original) D.C. Jones (new) \_\_\_\_\_

---

CERTIFICATE OF EXPRESS MAILING

I, Barbara A. Graves, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 315 West Oak Street, Suite 701, Fort Collins, Colorado 80521.

2. I have this day deposited the attached Power of Attorney from the University of Wyoming Research Corporation with the United States Postal Service as "Express Mail" for mailing to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

DATED: September 6 1995

Barbara A. Graves

To: Deputy Commissioner of Patents and Trademarks  
Office of Enrollment and Discipline  
Attorney Roster  
Washington, D.C. 20231

**CHANGE OF CORRESPONDENCE ADDRESS**

Please accept this as formal notification of a change of correspondence address for:

Santangelo Law Offices, P.C.

Effective: April 1, 1998, the new correspondence address is:

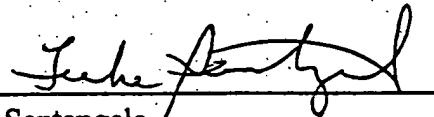
SANTANGELO LAW OFFICES, P.C.  
125 South Howes, Third Floor  
Fort Collins, CO 80521  
(970) 224-3100

Registered attorneys:

Luke Santangelo, PTO No. 31,997  
William F. Vobach, PTO No. 39,411  
D. Brit Nelson, PTO No. 40,370

Sincerely,

SANTANGELO LAW OFFICES, P.C.



Luke Santangelo  
PTO No. 31,997  
125 South Howes, Third Floor  
Fort Collins, CO 80521  
(970) 224-3100

THIS DOCUMENT HAS AN ARTIFICIAL WATERMARK PRINTED ON THE BACK. THE FRONT OF THE DOCUMENT HAS A MICRO-PRINT SIGNATURE LINE. ABSENCE OF THESE FEATURES WILL INDICATE A COPY.



First Interstate Bank  
(307) 672-1402  
4 South Main Street  
Sheridan, Wyoming 82801

500 5520404

75-1522910

DATE May 13, 98

REMITTER University of Wyoming Research Corporation  
PAY EXACTLY \$395 DOL 00 CTS  
TO THE  
ORDER OF Commissioner of Patents & Trademarks

\$ 395.00

## OFFICIAL CHECK

DRAWER: TRAVELERS EXPRESS COMPANY, INC.  
O. BOX 3476 MINNEAPOLIS, MN 55480  
DRAWEE: FIRSTAR BANK OF MINNESOTA, N.A. ST. PAUL, MN

DRAWN BY FIRST INTERSTATE BANK

1:09:10 15224:00500 552040420

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademark, Box Assignments  
Washington, DC 20231

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademark, Box Assignments  
Washington, DC 20231

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IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

---

In Re the Application of: Frank D. Guffey and Floyd Alan Barbour

For: Process for Plastic Recycling

Serial Number: \_\_\_\_\_

Filed: \_\_\_\_\_

Assignee: *The University of Wyo 8/21/95*  
Wyoming Research Corporation d/b/a Western Research Institute

---

ASSIGNMENT OF PATENT RIGHTS

THIS ASSIGNMENT is made the 21st day of August, 1995, between and among Frank D. Guffey, whose address is 5905 Chapparral Drive, Laramie, Wyoming 82070, U.S.A., and Floyd Alan Barbour, whose address is 4429 Comanche Drive, Laramie, Wyoming 82070, U.S.A. (the "Inventors"), and The University of Wyoming Research Corporation, d/b/a Western Research Institute, having its principal office at 365 North 9th Street, Laramie, Wyoming 82071-3395, U.S.A. (the "Assignee").

WHEREAS the Inventors applied for the issuance of United States Letters Patent for an invention entitled "Process for Waste Plastic Recycling" on March 10, 1993 which received the serial number 08/028,844 and has since been abandoned ("Invention I");

WHEREAS the Inventors filed a Patent Cooperation Treaty (PCT) application based in part upon Invention I entitled "Process for Waste Plastic Recycling" on March 8, 1994 which received the international application number PCT/US94/02433 and whereas the Inventors are applying for the issuance of United States Letters Patent for an invention entitled "Process for Waste Plastic Recycling" based upon this PCT application ("Invention II");

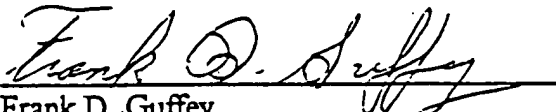
WHEREAS the Inventors and Assignee desire to formalize the assignment of the Inventors' entire right, title and interest in and to Inventions I and II including but not limited to all rights to make, use, and sell the subject of Inventions I and II for their entire patent terms;


NOW, THEREFORE, the parties hereby agree as follows:

The Inventors, for good and valuable consideration, hereby grant and assign to the Assignee the entire right, title, and interest in Inventions I and II, including but not limited to all rights to make, use, and sell Inventions I and II, and to file for foreign patents based upon the application for Invention I, the PCT application, or the U.S. application for Invention II or any continuations or divisionals thereof.

This assignment shall be binding on all parties, their heirs, successors, and assigns, and may be recorded in the United States Patent and Trademark Office.

INVENTORS:

  
Frank D. Guffey

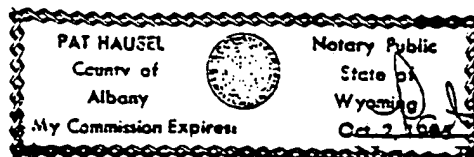
  
Floyd Alan Barbour



UNITED STATES OF AMERICA )  
 )  
STATE OF WYOMING ) ss.  
 )  
COUNTY OF Albany )

SUBSCRIBED AND SWORN to before me in the County of Albany, State of Wyoming, United States of America, by Frank D. Guffey, this 21<sup>st</sup> day of August, 1995.

WITNESS my hand and official seal pursuant to the authority vested in me as a Notary Public by the State of Wyoming.



Pat Hausel  
Notary Public  
My Commission Expires: October 2, 1995

UNITED STATES OF AMERICA )  
 )  
STATE OF WYOMING ) ss.  
 )  
COUNTY OF Albany )

SUBSCRIBED AND SWORN to before me in the County of Albany, State of Wyoming, United States of America, by Floyd Alan Barbour, this 21<sup>st</sup> day of August, 1995.

WITNESS my hand and official seal pursuant to the authority vested in me as a Notary Public by the State of Wyoming.



Pat Hausel  
Notary Public  
My Commission Expires: October 2, 1995

Attorney Docket: WRIPLASTIC  
Express Mail: TB138968049US

---

IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

---

In Re the Patent Application of:

Frank D. Guffey and Floyd Alan Barbour

For: Process for Waste Plastic Recycling

Serial Number: (US original) 08/028,844 (new) \_\_\_\_\_  
(PCT) PCT/US94/002433

Filed: (US original) March 10, 1993 (new) \_\_\_\_\_  
(PCT) 08 March 1994

Group Art Unit: (US original) 1204 (new) \_\_\_\_\_

Examiner: (US original) D.C. Jones (new) \_\_\_\_\_

---

CERTIFICATE OF EXPRESS MAILING

I, Barbara A. Graves, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 315 West Oak Street, Suite 701, Fort Collins, Colorado 80521.
2. I have this day deposited the attached Assignment from Frank D. Guffey and Floyd Alan Barbour to the University of Wyoming Research Corporation with the United States Postal Service as "Express Mail" for mailing to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

DATED September 6, 1995

Barbara A. Graves

Express Mail No: EM 000783532US  
Attorney Docket: WRIPLASTIC-Div

-----  
IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE  
-----

In Re the Patent Application of:

Frank D. Guffey and Floyd Alan Barbour

For: Process for Waste Plastic Recycling

Serial Number: 08/525,639 New Serial No.: \_\_\_\_\_

Filed: September 6, 1995 New Filing Date: \_\_\_\_\_

Group Art Unit: 1106 New Group Art Unit: \_\_\_\_\_

Examiner: Nina Bhat New Examiner: \_\_\_\_\_

-----  
**CERTIFICATE OF EXPRESS MAILING**

I, Barbara Graves, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.
2. I have this day deposited the attached new formal drawing with the United States Postal Service as "Express Mail" for mailing to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

DATED: May 15, 1998

  
\_\_\_\_\_  
Barbara Graves

Express Mail No: EM 000783532US  
Attorney Docket: WRIPLASTIC-Div

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IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE  
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In Re the Patent Application of:

Frank D. Guffey and Floyd Alan Barbour

For: Process for Waste Plastic Recycling

Serial Number: 08/525,639      New Serial No.: \_\_\_\_\_

Filed: September 6, 1995      New Filing Date: \_\_\_\_\_

Group Art Unit: 1106      New Group Art Unit: \_\_\_\_\_

Examiner: Nina Bhat      New Examiner: \_\_\_\_\_  
-----

**CERTIFICATE OF EXPRESS MAILING**

I, Barbara Graves, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.
2. I have this day deposited the attached Information Disclosure Statement under 37 CFR 1.97 and 1.98 with the United States Postal Service as "Express Mail" for mailing to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

DATED: May 15, 1998

  
\_\_\_\_\_  
Barbara Graves

TO: The Commissioner of Patents & Trademarks

Box Issue Fee

Washington, DC 20231

Docket No: WRI-PlasticDIV

Serial No: 08/525,639

Please confirm receipt of the following documents by  
applying your date stamp below:

a Preliminary Amendment; copies of original  
specification, claims, drawing, assignment, declaration, power  
of attorney, small entity statement (individual), small entity  
statement (non profit organization) for parent application  
S/N: 08/525,639; a new formal drawing; an information  
disclosure citation; an information disclosure statement; a  
cashier's check in the amount of \$395.00; a fee calculation  
sheet; a Certificate of Mailing, and a Letter of Transmittal.

Date:

*Express Mail No: EM 000783532US*  
*Attorney Docket: WRIPLASTIC-Div*

-----  
IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE  
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In Re the Patent Application of:

Frank D. Guffey and Floyd Alan Barbour

For: Process for Waste Plastic Recycling

Serial Number: 08/525,639      New Serial No.: \_\_\_\_\_

Filed: September 6, 1995      New Filing Date: \_\_\_\_\_

Group Art Unit: 1106      New Group Art Unit: \_\_\_\_\_

Examiner: Nina Bhat      New Examiner: \_\_\_\_\_

-----  
**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

As a means of complying with the duty of disclosure set forth in 37 C.F.R. §1.56, §1.97, and §1.98, the following information may be material to the examination of the referenced application. Pursuant to 37 C.F.R. Section 1.97(g), this Information Disclosure Statement should not be construed as a representation that a search has been made. A copy of each item is enclosed with a listing similar to PTO Form 1449 for the examiner's convenience.

Document Number: EP 0 132 612 A1  
Date of Publication: February 13, 1985  
Applicant: Coenen, et al.  
Country of Origin: Europe  
Explanation of Relevance: This document was cited in the international phase of this application by the European Patent Office acting as the International Searching Authority. The international search report describes it as defining the general state of the art which is not considered to be of particular relevance.

Document Number: DT 25 30 229  
Date of Publication: June 27, 1977

Applicant: Wurfel  
Country of Origin: W. Germany  
Explanation of Relevance: This document was cited in the international phase of this application by the European Patent Office acting as the International Searching Authority. The international search report describes it as defining the general state of the art which is not considered to be of particular relevance.

Title: Studies on Thermal Decomposition of Plastic Wastes II--Pyrolysis of Plastic Wastes by The Solvent Method", Journal of the Fuel Society of Japan, 53, 715-725  
Date of Publication: 1974  
Author: O. Inomata, H. Ando, T. Abe  
Country of Origin: Japan  
Explanation: This publication has English data and an English synopsis that states it relates to the pyrolysis of plastic wastes.

Dated this 15 day of May, 1998.

Respectfully Submitted,  
SANTANGELO LAW OFFICES, P.C.

By: 

Brit Nelson  
Attorney for Applicant  
PTO No.40,370  
125 South Howes, Third Floor  
Fort Collins, Colorado 80521  
(970) 224-3100

m:\client\wri\plastic\us\div\ids.stm



08/525,639

**SANTANGELO Law Offices, P.C.**  
125 S. Howes, 3rd Floor  
Fort Collins, CO 80521  
(970) 224-3100 FAX (970) 224-3175

**FACSIMILE TRANSMISSION**

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

**TO:** PATENT AND TRADEMARK OFFICE  
Attn: Kathy Sheffey

**FAX:** 703-308-2840  
**TELEPHONE:** 703-308-1202

**FROM:** Patti Sortino

**TRANSMISSION PROBLEMS, CALL:** Patti Sortino

**TOTAL PAGES:** 4 (INCLUDING THIS COVER PAGE)

**DATE:**

☒ Facsimile Only  
☐ Facsimile to be followed by hard copy

**Client Reference:** WRI - PLASTIC - DIV - Filed May 15, 1998  
Inv. Frank D. Guffey

**MESSAGE:**

We mailed via Express Mail #EM000783532US, a Preliminary Amendment, to be entered with this filing prior to calculation of the filing fee; copies of parent application serial number 08/525,639, including copies of the original specification, original claims and informal drawing, the original combined declaration and power of attorney, the verified statement establishing small entity status (independent inventor), the verified statement establishing small entity status (non-profit organization), and the assignment of patent rights; a new formal drawing (1) sheet; information Disclosure Citation with copies of the cited references; Information Disclosure Statement under 37 CFR 1.97 & 1.98; a Letter of Transmittal, a fee calculation sheet and a cashier's check in the amount of \$395.00; and Express Mail Certificates for each document.

12-3-98  
7580 Cert of  
305-8309 Collectn

(0341) 1761

**RECEIVED**  
JAN 9 2001  
OFFICE OF PETITIONS

I am now faxing a front and back copy of the cashier's check that was sent. I have called several times, but was told that none of the documents could be found with the information from the canceled check.

Please notify me as soon as possible so that we can get the correct dates into our tracking system.

Thank you,

Patti Sortino

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JAN 5 2001

OFFICE OF PETITIONS

*Handwritten:* 1301671423

THIS DOCUMENT HAS AN ARTIFICIAL WATERMARK PRINTED ON THE BACK. THE FRONT OF THE DOCUMENT HAS A MICRO-PRINT SIGNATURE LINE. ABSENCE OF THESE FEATURES WILL INDICATE A COPY.



First Interstate Bank  
(307) 872-1402  
4 South Main Street  
Sheridan, Wyoming 82801

500 5520404

75-1522/910

University of Wyoming Research Corporation

REMITTER

DATE May 13, 93

PAY EXACTLY **335 DOL 00 CTS**

\$ 335.00\*

TO THE  
ORDER OF

Commissioner of Patents & Trademarks

# OFFICIAL CHECK

DRAWER: TRAVELERS EXPRESS COMPANY, INC.  
P.O. BOX 9476 MINNEAPOLIS, MN 55480  
DRAWEE: FIRST STAR BANK OF MINNESOTA, N.A. ST. PAUL, MN

DRAWER: FIRST INTERSTATE BANK

*Handwritten signature*

⑆09⑆0⑆5224⑆00500

⑆55204042⑆

*Handwritten:* 08 525639

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6666 71473

P102001234  
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Attorney Docket: WRIPLASTIC  
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IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE

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In Re the Patent Application of:

Frank D. Guffey and Floyd Alan Barbour

For: Process for Waste Plastic Recycling

Serial Number: (US original) 08/028,844 (new) \_\_\_\_\_  
(PCT) PCT/US94/002433

Filed: (US original) March 10, 1993 (new) \_\_\_\_\_  
(PCT) 08 March 1994

Group Art Unit: (US original) 1204 (new) \_\_\_\_\_

Examiner: (US original) D.C. Jones (new) \_\_\_\_\_

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CERTIFICATE OF EXPRESS MAILING

I, Barbara A. Graves, hereby certify to the truth of the following items:

1. I am an employee of Santangelo Law Offices, P.C., 315 West Oak Street, Suite 701, Fort Collins, Colorado 80521.

2. I have this day deposited the attached Verified Statement Establishing Small Entity Status of the University of Wyoming Research Corporation with the United States Postal Service as "Express Mail" for mailing to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

DATED: September 6, 1995

Barbara A. Graves